Policy: 202.120

Title: Offender Incompatibility Review Process

Effective Date: 8/15/17 12/10/24

**PURPOSE:** To <u>provide establish</u> a consistent, centralized <u>offender</u> incompatibility system to review issues of incompatibility between <u>incarcerated people offenders</u>, and enhance the security and safety <u>of offenders and staff</u> at all adult facilities.

APPLICABILITY: Minnesota Department of Corrections (DOC) adult facilities

## **DEFINITIONS:**

Active incompatibility – <u>a designation</u> document<u>eds</u> in the <u>incompatibility module of the</u> correctional operations management system (COMS) indicat<u>inge</u> that two <u>incarcerated peopleoffenders</u> need to be separated.

Inactive incompatibility – a designation documented in the incompatibility module of the correctional operation management system (COMS) indicating that when the issues requiring the two incarcerated people-offenders no longer need to be separated no longer exist.

**Incompatibility <u>review</u>** – a <u>review completed by the facility incompatibility committee</u> designation when there is compelling evidence that indicatinges there is a risk of serious bodily injury to an offender, if two incarcerated people are the offender is not keptphysically separated from another offender.

**Incompatibility reviewer (IR)** – person assigned by the DOC to make a <u>final</u> decisions regarding incompatibilities from supporting documentation <u>collected and submitted following reviewsent</u> by the facility'sies incompatibility review committee.

## **PROCEDURES:**

- A. Determining/Reviewing Offender Incompatibility
  - 1. Any staff may initiate the incompatibility review process by writing an incident report and forwarding it to the facility incompatibility review committee. Lieutenants, caseworkers managers, or other designated staff must complete incompatibility investigations.
  - 2. Each warden must establish a facility incompatibility <u>review</u> committee to <u>review offender</u> incompatibilities. The committee must have a chair and clerical support with membership and/or input from case management, security, and the office of special investigations (OSI).
  - 3. Within seven business days of receiving an incident report, the committee must <u>conduct a</u> review <u>each issue of incompatibility</u>. Upon receipt of all supporting documentation, the committee must recommend whether an incompatibility should be assigned.
    - a) Agendas are sent out to committee members in advance. Agendas with dispositions assigned are retained by the committee chair at the facility.

- b) If there is not enough information to make a decision, additional information is requested and the case is re-scheduled for the next meeting.
- c) The committee reviews issues including such examples as criminal victimization, familial relationships, extortion, serious assault, and witness testimony.
- 4. If the committee recommends assigning an incompatibility, the supporting documentation must be entered into the incompatibility module in COMS by the person the committee designates.
- 5. The electronically forwarded to the incompatibility reviewer (IR), with a copy to all the committee chairs at the facilities. The IR must review the committee's recommendation, and make a final determination whether to assign an incompatibility. The incompatibility reviewer must:
  - a) Document the final decision in the incompatibility module of COMS, and electronically notify the committee within three business days.
  - <u>b)</u> The IR must Eensure that incompatibilities are assigned consistently across facilities. The facility must add the incompatibility to the incompatibility list in COMS. Incompatibility lists are confidential.
- 65. Facility staff may need to initiate placement changes once an active incompatibility is assigned As directed by the IR, necessary offender placements are completed.
- 76. The case<u>worker manager</u> must review <u>offender</u> incompatibilities with <u>incarcerated</u> <u>peoplethe offender</u> during annual reviews.
  - The case<u>worker manager</u> must forward information from the annual review to the facility incompatibility <u>review</u> committee.
  - b) The facility incompatibility committee, who must review the information and document recommended actions in the incompatibility module in COMStaken
  - The incompatibility reviewer must review. The facility incompatibility committee must forward any changes recommended to incompatibility designations and document decisions in the incompatibility module in COMS inactivate an assigned incompatibility to the IR for approval, with a copy to all the committee chairs at the facilities. When review is complete, all supporting documentation is uploaded to the electronic offender file.
- B. Addressing Offender-Concerns of Incarcerated People
  - 1. <u>Incarcerated peopleOffenders</u> must immediately inform staff of potential threats to their safety. <u>Incarcerated peopleOffenders</u> are required to cooperate with staff in the investigation and resolution of the offender's concerns by, including the providingsion of names and all other relevant information concerning the source of the threat.
  - 2. When investigating offender concerns, the options that may be considered include such examples as:
    - a) Allowing the <u>incarcerated personoffender</u> to remain in <u>theirhis/her</u> present living unit, including a possible transfer to another cell/room within that unit;
    - b) Transferring the incarcerated personoffender to another living unit;

- c) Temporarily placing the <u>incarcerated personoffender</u> on administrative segregation status;
- d) Transferring the <u>incarcerated personoffender</u> to another department facility; and
- e) Transferring the <u>incarcerated personoffender</u> to a non-department facility.
- C. Maintaining Incompatibility Assignments
  - 1. Each <u>facility incompatibility review</u> committee must update and maintain <u>the</u> incompatibility assignments <u>recommendations</u> of the offenders in <u>the incompatibility module of COMS</u>.
  - 2. The incompatibility reviewer must document all decisions in the incompatibility module of COMS.
  - 3. Incompatibility determinations on individuals currently in DOC custody are confidential.

## **INTERNAL CONTROLS:**

- A. Supporting documentation of incompatibility assignments are retained in the electronic base of fender file and linked to the incompatibility module of COMS.
- B. The department incompatibility <u>list\_data</u> is maintained in <u>the incompatibility module of COMS</u>.

ACA STANDARDS: 4-4251, 4-4273, 4-4281

REFERENCES: Minn. Stat. §241.01, subd. 3a (a) (b) None

**REPLACES:** Policy<del>Division Directive</del> 202.120, "Offender Incompatibility," 5/17/168/15/17.

All facility policies, memos, or other communications whether verbal, written, or

transmitted by electronic means regarding this topic.

**ATTACHMENTS:** None

## **APPROVALS:**

Deputy Commissioner of Corrections, Facility Services

**Deputy Commissioner, Community Services** 

**Assistant Commissioner, Facility Services** 

Assistant Commissioner, Operations Support